

**5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

My son occasionally worked in the past part-time in the summer at the LaSalle County Circuit Clerk's Office, Ottawa, Illinois, and he also worked with stockbrokers at P.A. Heath & Associates and Cardiomedix, Inc.

My daughter works at IBM Consulting(formerly Price Waterhouse Coopers Consulting until sold to IBM at the end of summer, 2002.

My wife was in the past a member of the Board of Directors of Peltier Glass Co., Ottawa, IL.

(A for profit organization)

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

My wife and I were sued for an accident involving my wife in May, 1998 in LaSalle County. (I have been dismissed out of the case on June 8, 2000 and the entire case has been dismissed on December 21, 2001.)

**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:**

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President.

(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife was a co-trustee of the Eileen M. Huppert Trust and Estate which was closed at the end of 2000: and was Trustee of Matthew's accounts with Wayne Hummer.

My wife is a Member, Board of Directors of Ottawa Reddick Library.

(A governmental entity - no compensation paid)

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

My son Matthew received shares of Sara Lee stock valued at under \$10,000.00 at the time from me in 2002.

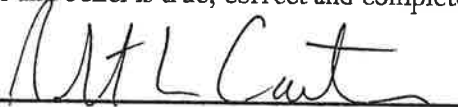
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

**VERIFICATION**

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interest, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature

4/15/03

Date

**FILED**

APR 17 2003

**SUPREME COURT CLERK**

(Complete Below - Please Do Not Detach)

COPY

RECEIVED  
APR 17 2002  
SUPREME COURT CLERK

**Statement Required of Members of the  
Judiciary of the State of Illinois**

**STATEMENT OF**

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My son, Matthew received shares of Sara Lee stock valued at under \$10,000.00 from me.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER  
ANSWER TO QUESTION 1:

- A. Realty - Residence [REDACTED] Illinois and personal property of residence including furniture, furnishings, and 4 autos.
- B. My wife, my children and I possess life insurance at work.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio (see attached lists).
- M. I now own 857 shares of common stock in Sara Lee Corp. at present.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A. (Am. Exp. Mutual Fund - I.D.S. Class A).
- O. Mary and Matt Carter each own 169 shares of U.S. West, Inc. (U.S. West. Comm. Group) common stock.
- P. Wife has 1/4 interest in Paul Rink (her father) Estate in Probate in 2001.

# Hummer Finl Advisory Services Inc Portfolio Detail

Nancy R. Carter /

## Cash & Money Market Funds

Cash & Money Market Funds

## Equity Investments

A T & T Wireless Group	62
Allstate Corp	300
Archstone-Smith Tr	300
AT&T Corp	195
Bank One Corp	200
Caterpillar	181
Comerica Bank	100
Corning Inc	200
DTE Energy Co	200
Dupont (E.I.) De Nemours	142
Eastman Kodak Co	144
Emerson Electric Co	100
Exxon Mobil	300
Gables Residential Tr Sh Ben Int	300
General Electric Co	200
General Motors Corp	91
Grainger W W Inc	200
Honeywell International Inc	163
International Paper Co	204
J P Morgan Chase & Co	332
Johnson & Johnson	100
Lucent Technologies Inc	198
Minnesota Mining & Mfg Co	64
Newell Rubbermaid Inc	300
Nortel Networks Corp (Canada)	400
Procter & Gamble Co	100
Royal Caribbean Cruises Ltd	300
RPM Inc Ohio	400
Sara Lee Corp	8,345
Servicemaster Co	500
Standard & Poors Depository Receipts T	200
Summit Properties Inc	300
Thomas & Betts Corp	300
Uts EIF S&P Industrial 01-B Q Select Se	8,336
Par 0.00	
Xcel Energy Inc	300

## From Paul Rink Estate from Solomon Smith Barney

CATERPILLAR INC	-81
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	
E I DU PONT DE NEMOURS & CO	-99
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	
EASTMAN KODAK CO	-90
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	
EXXON MOBIL CORP	-98
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	
GENERAL MOTORS CORP	-73
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	
INTERNATIONAL PAPER CO	-101
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	
JP MORGAN CHASE & CO	-102
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	
MINNESOTA MINING & MFG CO	-39
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	
PHILIP MORRIS COS INC	-86
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	
SBC COMMUNICATIONS INC	-99
ACCT-TRANSFRD-01 22 02	
WAYNE HUMMER & CO	

## Fixed Income Investments

Apt Inv & Mgmt Pfd Ser G 9.375% -call	400
FHLMC	25,000
09/13/2004 5.15%	
Call 03/13/2002, 100.00	
Federal Farm Credit Bank	25,000
05/01/2002 5.25%	
FHLB - Illinois Income Tax Exempt	25,000
05/26/2009 6.30%	
Call 05/26/2004, 100.00	
FHLB	25,000
03/06/2006 5.125%	
FHLMC	35,000
10/15/2008 5.125%	
United Parcel Svc Inc Med Term Nt	25,000
10/15/2011 5.25%	
Call 10/15/2003, 100.00	

## Hummer Finl Advisory Services Inc Portfolio Detail

Mary P. Carter    Individual

### Equity Investments

Boeing Co	100
Cisco Systems Inc.	300
Exxon Mobil	100
General Electric Co	100
JDS Uniphase Corp	100
Nasdaq 100	50
Nortel Networks Corp (Canada)	200
Novartis A G (Switzerland)	100
Pactiv	200
Procter & Gamble Co	50
Qwest Communications Intl Inc	292
Sara Lee Corp	2,891
Standard & Poors Depository Receipts T	200
Tellabs Inc	100
WebMD Corp	50

### Fixed Income Investments

FHLMC	25,000
01/15/2006 5.25%	

### Cash & Money Market Funds

Cash & Money Market Funds

## Hummer Finl Advisory Services Inc Portfolio Detail

Carter, Matthew.

### Cash & Money Market Funds

Cash & Money Market Funds

### Equity Investments

Boeing Co	100
Cisco Systems Inc.	300
Exxon Mobil	100
General Electric Co	100
JDS Uniphase Corp	100
Nasdaq 100	50
Nortel Networks Corp (Canada)	200
Novartis A G (Switzerland)	100
Pactiv	200
Procter & Gamble Co	50
Qwest Communications Intl Inc	292
Sara Lee Corp	7,190
Standard & Poors Depository Receipts T	200
Tellabs Inc	100
WebMD Corp	50

### Fixed Income Investments

Bank One Corp	25,000
08/01/2002 6.40%	
Federal Farm Credit Bank	
12/15/2003 5.07%	



3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (less than \$5000)

First Card, VISA, Elgin, Illinois (at present owe less than \$500 - revolving charge)

MBNA Master Card, Delaware (at present owe less than \$1,000 - revolving charge)

My wife has ATT Universal Credit Card and Shell Credit Card. (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

Loans at First National Bank of Ottawa, Illinois (auto, etc.)

Category C - (Greater than \$15,000 but not more than \$50,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

**5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987, was on shared time and is now full time with the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. My son occasionally worked in the past part-time in the summer at the LaSalle County Circuit Clerk's Office, Ottawa, Illinois, and he also worked with stockbrokers at P.A. Heath & Associates and Cardiomedix, Inc.

My daughter works at Price Waterhouse Coopers in consulting since August 2000.

My wife was a member of the Board of Directors of Peltier Glass Co., Ottawa, IL.  
(A for profit organization)

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

My wife and I ~~were~~ <sup>were</sup> being sued for an accident involving my wife in May, 1998 in LaSalle County. (I have been dismissed out of the case on June 8, 2000 and the entire case has been dismissed on December 21, 2001.)

**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:**

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President

(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife was a co-trustee of the Eileen M. Huppert Trust and Estate which was closed at the end of 2000: and was Trustee of Matthew's accounts with Wayne Hummer.

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

My son Matthew received shares of Sara Lee stock valued at under \$10,000.00 at the time from me in 2001.

Scholarship and/or reimbursement to attend Civil Mediation course at the National Judicial College in Reno, Nevada, July 29-August 3, 2001. From Bauer Foundation for scholarship/normal Judicial reimbursement for some expenses.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

**VERIFICATION**

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interest, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature

4/16/02

Date

**FILED**

APR 17 2002

**SUPREME COURT CLERK**

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the  
Judiciary of the State of Illinois**

**RECEIVED**

APR 20 2001

**SUPREME COURT CLERK**

**STATEMENT OF**

**ROBERT L. CARTER**

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family**  
(spouse and minor children residing with me) **are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date  
(within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family**  
(spouse and minor children residing with me) **held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My son, Matthew received shares of Sara Lee stock valued at under \$10,000.00  
from me. Matthew and Mary Carter had sold some shares of Sara Lee Corp. for  
college.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER  
ANSWER TO QUESTION 1:

- A. Realty - Residence [REDACTED] Illinois & personal property of residence including furniture and furnishings and 4 autos.
- B. My wife, my children and I possess life insurance at work.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking & savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois, and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest held at Wayne Hummer in the following corporations and amounts: 300 Allstate Corp shares, 200 Bank One Corp. shares, 100 Comerica shares, 300 Gables Residential Trust SBI shares, 100 W.W. Granger shares, 50 Johnson & Johnson shares, 300 Northern State, 89 shares of AT&T, 6,570 shares of Sara Lee Corp., 72 shares of Lucent Technologies, Inc., DTE Energy, Wayne Hummer MK fund, Proctor & Gamble Co, RPM Inc-Ohio, Xcel Energy, Inc., Chase Manhattan Corp, Emerson Elect., Newell Rubb., Northern States Power, Service Master, Summit Properties, Thum & Betts Corp., Avaya Inc., Standard & Poor Dep.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at 1st National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Matthew Carter owns approximately 6,429 shares of common stock in Sara Lee Corp. Mary Carter presently owns approximately 2,889 shares in Sara Lee Corp. Mary & Matthew own 200 PACTIV Corp. shares each. (Stock held by Wayne Hummer).
- M. I now own 1,316 shares of common stock in Sara Lee Corp. at present.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A. (Am. Exp. Mutual Fund - I.D.S. Class A)
- O. Mary and Matt Carter each own 169 shares of U.S. West, Inc. (U.S. West. Comm. Group) common stock.
- P. Matt owns approximately 25,000 interest each in MBNA and Bank One Corp. with Wayne Hummer accounts.
- Q. Nancy, Mary and Matt each have above stock, other interests and also money funds in Wayne Hummer investment accounts and other items as purchased. (Nancy has with Wayne Hummer money accounts of Federal Farm Credit Bank, Federal Home Loan Bank, and Federal National Mortgage Association.)
- R. Mary also has at Wayne Hummer accounts, interest in Exodus Comm. Federal Home Loan Mtg. Corp., Health Corp, NASDAQ 100, Standard & Poor Dep., Tellabs, Inc., WEBMD Corp.
- S. Matt also has at Wayne Hummer accounts, interest in QWest Comm., Wayne Hummer Money Market, Proctor & Gamble, Fed. Home Loan Bank, Exodus Comm., Health Corp., NASDAQ 100, Standard & Poor Dep., Tellabs, Inc.

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

First Card, VISA, Elgin, Illinois (at present owe less than \$500 - revolving charge)

MBNA Master Card, Delaware (at present owe less than \$1,000 because of hotel bills - revolving charge)

My wife has ATT Universal Credit Card and Shell Credit Card. (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

Loans at First National Bank of Ottawa, Illinois (auto, etc.)

Category C - (Greater than \$15,000 but not more than \$50,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

**5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987, was on shared time and is now full time, with the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. My son occasionally worked in the past as a receptionist at the law office of Armstrong, Surin & Hettel, Ottawa, Illinois, and worked part-time in the summer at the LaSalle County Circuit Clerk's Office, Ottawa, Illinois, and he also worked with stockbrokers at P.A. Heath & Associates.

My daughter works at Price Waterhouse Coopers in consulting since August 2000. My wife is a member of the Board of Directors of Peltier Glass Co, Ottawa, IL. (A for profit organization).

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

My wife and I are being sued for an accident involving my wife in May, 1998 in LaSalle County. (I have been dismissed out of the case.)



**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:**

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President  
(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife is a co-trustee of the Eileen M. Huppert Trust and Estate which was closed at the end of 2000; and is Trustee of Matthew's accounts with Wayne Hummer and the Eileen M. Huppert Trust and Estate which was closed at the end of 2000.

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

My son Matthew received shares of Sara Lee stock valued at under \$10,000.00 at the time from me in 2000.

Scholarship and/or reimbursement to attend Civil Mediation course at the National Judicial College in Reno, Nevada, July 29-August 3, 2001. Unsure of the amount.

Have not received yet. Bauer Foundation for scholarship/normal Judicial reimbursement for some expenses.

Scholarship and/or reimbursement from the Roscoe Pound Institute to attend Forum for Trial Court Judges on May 5, 2001 at Loyola University Chicago. Unsure of the amount.  
Have not received yet.

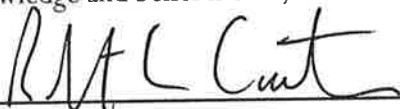
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

### VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interest, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature

4/18/01

Date

**FILED**

APR 20 2001

**SUPREME COURT CLERK**

(Complete Below - Please Do Not Detach)

COPY

RECEIVED

APR 21 2000

SUPREME COURT CLERK

**Statement Required of Members of the  
Judiciary of the State of Illinois**

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

Shares of Sara Lee were sold. My son, Matthew and my daughter, Mary Carter, each received shares of Sara Lee stock valued at under \$10,000.00 from me. Mary Carter had sold some shares of Sara Lee Corp. for college.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER

ANSWER TO QUESTION 1:

- A. Realty - Residence [REDACTED] Illinois & personal property of residence including furniture and furnishings and 4 autos.
- B. Life insurance - Equitable Financial Company (life insurance) cashed in 1999 and my wife, my children and I possess life insurance at work.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking & savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois, and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust.
- I. My wife has shares of stock in the following corporations and amounts: 300 Allstate Corp shares, 200 Bank One Corp. shares, 100 Comerica shares, 300 Gables Residential Trust SBI shares, 100 W.W. Granger shares, 50 Johnson & Johnson shares, 300 Northern State, 89 shares of AT&T, 6,570 shares of Sara Lee Corp., 72 shares of Lucent Technologies, Inc.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL. and wife and children have other accounts at 1st National Bank, Ottawa, IL.
- L. Matthew Carter owns 6,929 shares of common stock in Sara Lee Corp. Mary Carter presently owns 2,889 shares in Sara Lee Corp. Mary & Matthew own 200 PACTIV Corp. shares each.
- M. I now own 1,816 shares of common stock in Sara Lee Corp. at present.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A. (Am. Exp. Mutual Fund - I.D.S. Class A)
- O. Mary and Matt Carter each own 169 shares of U.S. West, Inc. (U.S. West. Comm. Group) common stock.
- P. Matt owns approximately 25,000 interest each in MBNA and Bank One Corp. with Wayne Hummer accounts.
- Q. Nancy, Mary and Matt each have above stock, other interests and also money funds in Wayne Hummer investment accounts. (Nancy has with Wayne Hummer money accounts of Federal Farm Credit Bank, Federal Home Loan Bank, and Federal National Mortgage Association.)

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

First Card, VISA, Elgin, Illinois

National City, Kalamazoo, MI

MBNA Master Card, Delaware

Citibank Visa Preferred, Sioux Falls, S.D.

Citibank Mastercard, Sioux Falls, S.D.

My wife has ATT Universal Credit Card and Shell Credit Card.

Category B - (Greater than \$5000 but not more than \$15,000)

Loans at First National Bank of Ottawa, Illinois (auto, etc.)

Category C - (Greater than \$15,000 but not more than \$50,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

**5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987, was on shared time and is now full time, with the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

My son occasionally worked in the past as a receptionist at the law office of Armstrong, Surin & Hettel, Ottawa, Illinois, and worked part-time in the summer at the LaSalle County Circuit Clerk's Office, Ottawa, Illinois.

My daughter worked in the summer at G.E. Plastics, Ottawa, Illinois, in 1998.

My wife is a member of the Board of Directors of Peltier Glass Co., Ottawa, IL. (A for profit organization).

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

My wife and I are being sued for accident involving my wife in May, 1998 in LaSalle County.

**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:**

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President

(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and President

(A non-profit entity, no compensation paid)

My wife is a co-trustee of the Eileen M. Huppert Trust and Trustee of Matthew's accounts with Wayne Hummer and the Eileen M. Huppert Trust.

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

My son Matthew and my daughter, Mary Carter, each received shares of Sara Lee stock valued at under \$10,000.00 at the time from me in 1999.

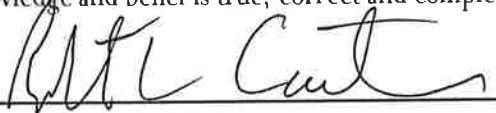
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

#### VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interest, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature

4/19/00

Date

**FILED**

APR 21 2000

SUPREME COURT CLERK

(Complete Below - Please Do Not Detach)



COPY

**Statement Required of Members of the  
Judiciary of the State of Illinois**

**RECEIVED**

APR 14 1999

**SUPREME COURT CLERK**

**STATEMENT OF**

Robert L. Carter

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family**  
(spouse and minor children residing with me) **are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date  
(within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family**  
(spouse and minor children residing with me) **held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

64 shares of Sara Lee were sold in the preceding 12 months. My daughter, Mary Carter, received shares of Sara Lee stock valued at under \$10,000.00 from me. Mary Carter sold shares of Sara Lee Corp. for college.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER

ANSWER TO QUESTION 1:

- A. Realty - Residence [REDACTED]  
Illinois & personal property of residence including furniture and furnishings and three autos.
- B. Life insurance - Equitable Financial Company (life insurance) cashed in 1999 and my wife, my children and I possess life insurance at work.
- C. My wife has life interest in the Elaine M. Safffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, Ottawa Savings Bank (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking & savings account.
- G. My wife has a one-eight interest in a farm, 200 acres, in Andalusia, Illinois, and one-eighth interest in the farm account, and a 3.575% interest in Rink Farm.
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust.
- I. My wife has shares of stock in the following corporations and amounts: 57 shares of AT&T, 6,570 shares of Sara Lee Corp., 18 shares of Lucent Technologies, Inc. and shares in Transition Electronics which is believed to be valueless.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL. and wife and children have another joint account at 1st National Bank, Ottawa, IL
- L. Matthew Carter owns 6,570 shares of common stock in Sara Lee Corp. Mary Carter presently owns 2,530 shares in Sara Lee Corp.
- M. I now own 2,534 shares of common stock in Sara Lee Corp. at present.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A. (Am.Exp. Mutual Fund - I.D.S. Class A)
- O. Mary and Matt Carter each own 156 shares of U.S. West, Inc. (U.S. West. Comm. Group) common stock.
- N.B. (Sara Lee had a Stock Split in 1998)

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

First Card Visa, Elgin, IL

National City, One NCC RKWY KA162B, Kalamazoo, MI 49009-8002, formerly known as Security Bank & Trust

My wife has ATT Universal Credit Card and Shell Credit Card.

MBNA Master Card

Category B - (Greater than \$5000 but not more than \$15,000)

Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, S.D. 57117

Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117

Category C - (Greater than \$15,000 but not more than \$50,000)

Loans at First National Bank of Ottawa, Illinois (auto, etc.)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None.

**5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987, was on shared time and is now full time, with the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

My son occasionally worked as a receptionist at the law office of Armstrong, Surin & Hettel, Ottawa, Illinois, and worked part-time in the summer at the LaSalle County Circuit Clerk's Office, Ottawa, Illinois.

My daughter worked in the summer at G.E. Plastics, Ottawa, IL.

My wife is a member of the Board of Directors of Peltier Glass Co., Ottawa, IL. (A for profit organization).

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:**

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President

(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and President.

(A non-profit entity, no compensation paid)

My wife is a co-trustee of the Eileen M. Huppert Trust.

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

My daughter, Mary Carter, received shares of Sara Lee stock valued at under \$10,000.00 at the time from me.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

**VERIFICATION**

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interest, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.

  
\_\_\_\_\_  
Judge's Signature

4/13/99  
\_\_\_\_\_  
Date

**FILED**

**APR 14 1999**

**SUPREME COURT CLERK**

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the  
Judiciary of the State of Illinois**

**RECEIVED**

**APR 24 1998**

**SUPREME COURT CLERK**

**STATEMENT OF**

Robert L. Carter

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

54 shares of Sara Lee were sold in the preceding 12 months. My daughter, Mary Carter, received 235 shares of Sara Lee stock valued at under \$10,000.00 from me. Mary Carter sold 735 shares of Sara Lee Corp.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER

ANSWER TO QUESTION 1:

- A. Realty - Residence located [REDACTED], Illinois & personal property of residence including furniture and furnishings and three autos.
- B. Life insurance - Equitable Financial Company (life insurance) and my wife, my children and I possess life insurance at work.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, Ottawa Federal Savings & Loan, Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking & savings account.
- G. My wife has a one-eighth interest interest in a farm, 200 acres, in Andalusia, Illinois, and one-eighth interest in the farm account.
- H. My wife had a Kemper Money Market Fund, terminated interest before 1994.
- I. My wife has shares of stock in the following corporations and amounts: 57 shares of AT&T, 3285 shares of Sara Lee Corp., 18 shares of Lucent Technologies, Inc. and shares in Transition Electronics which is believed to be valueless.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL.
- L. Matthew Carter owns 3285 shares of common stock in Sara Lee Corp. Mary Carter presently owns 2135 shares in Sara Lee Corp.
- M. I now own 1519 shares of common stock in Sara Lee Corp. at present.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A. (Am. Exp. Mutual Fund - I.D.S. Class A)
- O. Mary and Matt Carter each own 156 shares of U.S. West, Inc. (U.S. West. Comm. Group) common stock.



**3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:**

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

First Card Visa, Elgin, IL

Security Bank & Trust, P.O. Box 1156, Southgate, MI 48195

My wife has ATT Universal Credit Card.

MBNA Master Card

Category B - (Greater than \$5000 but not more than \$15000)

Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, S.D. 57117

Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117

Category C - (Greater than \$15000 but not more than \$50000)

Loans at First National Bank of Ottawa, Illinois (auto, etc.)

Category D - (Greater than \$50000, but not more than \$100000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

**4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

(Here list in accordance with numbered paragraph 4 of the instructions.)

None.

**5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987, was on shared time and is now full time, with the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

My son occasionally works as a receptionist at the law office of Armstrong, Surin & Hettel, Ottawa, Illinois; and worked part-time in the summer at the LaSalle County Circuit Clerk's Office, Ottawa, Illinois.

My daughter worked in the summer at G.E. Plastics, Ottawa, IL.

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:**

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President  
(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and President. (A non-profit entity, no compensation paid)

My wife is a Member of the Board of Directors of Peltier Glass Co., Ottawa, IL  
(A for-profit organization)

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

My daughter, Mary Carter, received 235 shares of Sara Lee stock valued at under \$10,000.00 at the time from me.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

**VERIFICATION**

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interest, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.

  
\_\_\_\_\_  
Judge's Signature

\_\_\_\_\_  
4/22/98  
Date

**FILED**

APR 24 1998

SUPREME COURT CLERK

(Complete Below - Please Do Not Detach)

RECEIVED

APR 24 1998

**CIRCUIT JUDGES**

Robert H. Adcock  
Robert L. Carter  
James A. Lanuti  
Louis J. Perona  
Cynthia M. Raccuglia  
H. Chris Ryan, Jr.  
James J. Wimbiscus

**ASSOCIATE JUDGES**

William P. Balestri  
William R. Banich  
James L. Brusatte  
Scott Madson  
Robert C. Marsaglia

**Robert L. Carter**  
**CHIEF JUDGE**  
State of Illinois  
Thirteenth Judicial Circuit

La Salle County Courthouse  
119 W. Madison Street  
P.O. Box 2158  
Ottawa, Illinois 61350  
(815)434-0786  
Fax: (815)434-6062

**SUPREME COURT CLERK**

**COURT ADMINISTRATOR**

Diane Robinson

**ASSISTANT COURT**

**ADMINISTRATOR**

Lori Wakeman

**EXECUTIVE SECRETARY**

Kim Angel

**COUNTIES**

La Salle

Bureau

Grundy

April 22, 1998

Clerk of the Supreme Court  
Supreme Court Building  
Springfield, IL 62701-1790

RE: Statement Required of Members of the Judiciary of the State of Illinois

Dear Supreme Court Clerk:

I want to amend my form in Paragraph 5 to indicate as follows:

My wife is a member of the Board of Directors of Peltier Glass Co., Ottawa, Illinois.  
(A for-profit organization).

I erroneously had placed the above information in Paragraph 7.

Please attach this to my original ethics statement.

Sincerely,



Robert L. Carter  
Chief Judge

**FILED**

APR 24 1998

**SUPREME COURT CLERK**

COPY

RECEIVED

**Statement Required of Members of the  
Judiciary of the State of Illinois**

APR 24 1997

SUPREME COURT CLERK

**STATEMENT OF**

Robert L. Carter

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family**  
(spouse and minor children residing with me) **are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date  
(within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family**  
(spouse and minor children residing with me) **held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

I sold all of my shares of Blue Chip Adv. Class A (Am. Exp. Mutual Fund - I.D.S. Class A  
Mary Carter sold 935 shares of Sara Lee Corp. My daughter, Mary Carter, received 293  
shares of Sara Lee stock valued at under \$10,000.00 at the time from me.

- 3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were  
owed during the year preceding the date of verification by me or members of my immediate  
family** (spouse and minor children residing with me), **exclusive of revolving charge accounts with an**

ANSWER TO QUESTION 1:

- A. Realty - Residence [REDACTED]  
Illinois & personal property of residence including furniture and furnishings and three autos.
- B. Life insurance - Equitable Financial Company (life insurance) and my wife, my children and I possess life insurance at work.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, Ottawa Federal Savings & Loan, Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking & savings account.
- G. My wife has a one-eighth interest in a farm, 200 acres, in Andalusia, Illinois, and one-eighth interest in the farm account.
- H. My wife had a Kemper Money Market Fund, terminated interest before 1994.
- I. My wife has shares of stock in the following corporations and amounts: 57 shares of AT&T, 3285 shares of Sara Lee Corp., 18 shares of Lucent Technologies, Inc. and shares in Transition Electronics which is believed to be valueless.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL.
- L. Matthew Carter owns 3285 shares of common stock in Sara Lee Corp. Mary Carter presently owns 2643 shares in Sara Lee Corp.
- M. I had owned 2101 shares of common stock in Sara Lee Corp. and I now own 1808 shares of common stock in Sara Lee Corp. (in 1992, there was a stock split).
- N. I had owned 511 shares in Blue Chip Advantage Class A (Am. Exp. Mutual Fund-I.D.S. Class A.), which I sold in 1996.
- O. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A. (Am. Exp. Mutual Fund-I.D.S. Class A)
- P. Mary and Matt Carter each own 156 shares of U.S. West, Inc. (U.S. West. Comm. Group) common stock.

outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - Less than \$5000)

First Card Visa, Elgin, IL

Security Bank & Trust, P.O. Box 1156, Southgate, MI 48195

My wife has ATT Universal Credit Card.

MBNA Master Card

Category B - Greater than \$5000 but not more than \$15000)

Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, S.D. 57117

Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117

Loans at First National Bank of Ottawa, Illinois (auto, etc.)

Category D - Greater than \$50000, but not more than \$100000)

Home Mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None.

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987, with the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL and is on a shared time program



of 20 hours a week until the end of the year when she went full time.  
My son occasionally works as a receptionist at the law office of Armstrong & Surin,  
Ottawa, Illinois.

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:**

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President  
(A non-profit entity, no compensation paid)

I had been a trustee for "Nam Vets" - Safety Deposit Box in Ottawa, Illinois  
(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and President.  
(A non-profit entity, no compensation paid)

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

My daughter, Mary Carter, received 293 shares of Sara Lee stock valued at under \$10,000.00 at the time from me.

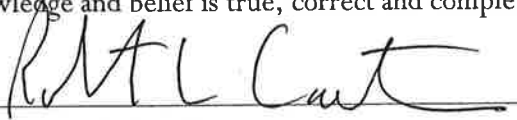
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

**VERIFICATION**

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interest, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.

  
\_\_\_\_\_  
Judge's Signature

\_\_\_\_\_  
4/23/97  
Date

**FILED**

APR 24 1997

**SUPREME COURT CLERK**

(Complete Below - Please Do Not Detach)

COPY

RECEIVED

**Statement Required of Members of the  
Judiciary of the State of Illinois**

APR 19 1996

CLERK  
SUPREME COURT

**STATEMENT OF**

Robert L. Carter

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family**  
(spouse and minor children residing with me) **are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date  
(within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family**  
(spouse and minor children residing with me) **held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

I sold 138.949 shares of Blue Chip Adv. Class A (Am. Exp. Mutual Fund - I.D.S. Class A)  
in February, 1996.

ANSWER TO QUESTION 1:

- A. Realty - Residence [REDACTED] Illinois & personal property of residence including furniture and furnishings and three autos.
- B. Life insurance - Equitable Financial Company (life insurance) and my wife, my children and I possess life insurance at work.
- C. My wife has life interest in the Elaine M. Safffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, Ottawa Federal Savings & Loan, Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking & savings account.
- G. My wife has a one-eighth interest in a farm, 200 acres, in Andalusia, Illinois, and one-eighth interest in the farm account.
- H. My wife had a Kemper Money Market Fund, terminated interest before 1994.
- I. My wife has shares of stock in the following corporations and amounts: 57 shares of AT&T, 3285 shares of Sara Lee Corp., and shares in Transition Electronics which is believed to be valueless.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL.
- L. Matthew and Mary Carter each own 3285 shares of common stock in Sara Lee Corp.
- M. I own 2101 shares of common stock in Sara Lee Corp. (in 1992, there was a stock split).
- N. I own 511 shares in Blue Chip Advantage Class A (Am. Exp. Mutual Fund-I.D.S. Class A.)
- O. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A. (Am. Exp. Mutual Fund-I.D.S. Class A)
- P. Mary and Matt Carter each own 156 shares of U.S. West, Inc. (U.S. West. Comm. Group) common stock.

**3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:**

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - Less than \$5000)

First Card Visa, Elgin, IL.

Security Bank & Trust, P.O. Box 1156, Southgate, MI 48195

My wife has ATT Universal Credit Card.

Category B - Greater than \$5000 but not more than \$15000)

Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, S.D. 57117

Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117

Loans at First National Bank of Ottawa, Illinois (auto, etc.)

Category D - Greater than \$50000, but not more than \$100000)

Home Mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

**4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

(Here list in accordance with numbered paragraph 4 of the instructions.)

None.

**5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987, with the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL, and is on a shared time program of 20 hours a week.

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None .

**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:**

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President  
(A non-profit entity, no compensation paid)

I had been a trustee for "Nam Vets" - Safety Deposit Box in Ottawa, IL  
(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and President  
(A non-profit entity, no compensation paid)

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Pat Rink, Chicago, had given an old auto (1988 Dodge Lancer) to my wife and me for the use of my children (auto valued under \$1,000).

Mary Armstrong of Colorado gave each child, Mary and Matthew Carter, 156 shares of U.S. West, Inc.

My wife received from Mary Armstrong of Colorado 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund - I.D.S. Class A)

I received 649.949 shares of Blue Chip Advantage Class A (Am. Express Mutual Fund - I.D.S. Class A) from Mary Armstrong of Colorado.



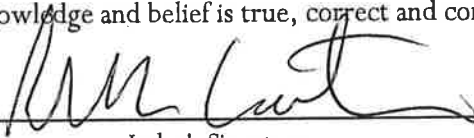
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

**VERIFICATION**

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interest, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.

  
\_\_\_\_\_

Judge's Signature

4/17/96  
\_\_\_\_\_

Date

**FILED**

APR 19 1996

**SUPREME COURT CLERK**

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the  
Judiciary of the State of Illinois**

**RECEIVED**  
**APR 25 1995**  
CLERK  
SUPREME COURT

**STATEMENT OF**

Robert L. Carter

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My wife sold her interest of Armada stock at a loss.

My wife terminated her interest before 1994 in the Kemper Money Mutual Fund.

ANSWER TO QUESTION 1:

- A. Realty - Residence [REDACTED] Illinois & personal property of residence including furniture and furnishings and two autos.
- B. Life insurance - Equitable Financial Company (life insurance) and my wife, my children and I possess life insurance at work.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts & CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, Ottawa Federal Savings & Loan, Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking & savings account.
- G. My wife has a one-eighth interest in a farm, 200 acres, in Andalusia, Illinois, and one-eighth interest in a farm account.
- H. My wife had a Kemper Money Market Fund, terminated interest before 1994.
- I. My wife has shares of stock in the following corporations and amounts: 57 shares of AT&T, 3285 shares of Sara Lee Corp., shares, in Transition Electronics which is believed to be valueless.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL.
- L. Matthew and Mary Carter each own 3285 shares of common stock in Sara Lee Corp.
- M. I own 2101 shares of common stock in Sara Lee Corp. (in 1992, there was a stock split).

- 3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in paragraph numbered 3 of the instructions:**

(Here list in accordance with numbered paragraph 3 of the instructions.)

(Category A - Less than \$5000)

1. First Card Visa, Elgin, IL.
2. Security Bank & Trust, P.O. Box 1156, Southgate, MI 48195
3. My wife has ATT Universal Credit Card.

(Category B - Greater than \$5000 but not more than \$15000)

1. Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, S.D. 57117
2. Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117
3. Campaign Committee Attorney Fees to Craig M. Armstrong of Craig M. Armstrong & Associates, Ottawa, Illinois for fees in connection with suit in Federal Court over the 1988 election. The bill was submitted to the Campaign Committee because it was one of the campaign debts and the attorney, in early 1994, donated the services to the campaign as an in kind contribution for the election and the lawsuit.

(Category C - Greater than \$15000 but not more than \$50000)

1. Loans at First National Bank of Ottawa, Illinois (auto, etc.)

(Category D - Greater than \$50000, but not more than \$100000)

1. Home Mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

- 4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

(Here list in accordance with numbered paragraph 4 of the instructions.)

None.

**5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987, with the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL, and is on a shared time program of 20 hours a week.

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None .

**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

I had been a member of the board of directors, Reddick's Library, Ottawa, IL  
(A non-profit entity, no compensation paid)

Member, Board of Directors, Illinois Judges Association and President  
(A non-profit entity, no compensation paid)

I had been a trustee for "Nam Vets" - Safety Deposit Box in Ottawa, IL  
(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and President.  
(A non-profit entity, no compensation paid)

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

There was a Campaign Committee for the Election of Judge Robert L. Carter for Circuit Court Judge from the 1986 election and for the 1988 election; Karen Armstrong, Treasurer, Ottawa, IL, which in the past received campaign contributions. Report was and is filed by the Treasurer with the State.

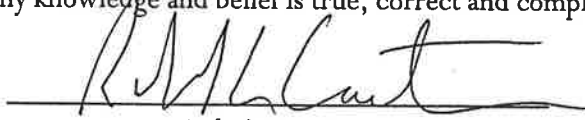
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraph 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interests or relationships which might or could create a substantial conflict of interest.)

None of which I am aware.

**VERIFICATION**

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.

  
\_\_\_\_\_  
Judge's Signature

4/19/95

\_\_\_\_\_  
Date

**FILED**

APR 25 1995

SUPREME COURT CLERK

(Complete Below - Please Do Not Detach)

COPY

RECEIVED

APR 26 1994

CLERK  
SUPREME COURT

STATEMENT REQUIRED OF MEMBERS OF THE  
JUDICIARY OF THE STATE OF ILLINOIS

STATEMENT OF Robert L. Carter  
(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:  
(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My wife sold her interest in Akron/Transition stock at a loss.



ANSWER TO QUESTION 1:

- A. Realty - Residence [REDACTED]  
Illinois, & personal property of residence including furniture and furnishings and two autos.
- B. Life insurance - Equitable Financial Company (life insurance) and my wife, my children and I possess life insurance at work.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Account, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, Ottawa Federal Savings & Loan, Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking & savings account.
- G. My wife is an heir, in 1984, in the estate of Mary J. Sinnett Rink from Rock Island, IL, and she received one-eighth interest in a farm, 200 acres, in Andalusia, Illinois, and one-eighth interest in a farm account, and my wife is an heir, in 1992, to the estate of Ruth Rink, from which she received some money.
- H. My wife has a Kemper Money Market Fund
- I. My wife has shares of stock in the following corporations and amounts: 25 shares, Armada Corp., and 57 Shares of AT&T and 3285 shares of Sara Lee Corp.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL.
- L. Matthew and Mary Carter each own 3285 shares of common stock in Sara Lee Corp.
- M. I own 2101 shares of common stock in Sara Lee Corp. (in 1992, there was a stock split).

**3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in paragraph numbered 3 of the instructions:**

**(Here list in accordance with numbered paragraph 3 of the instructions.)**

(Category A - Less than \$5000)

1. First Card Visa, Elgin, IL.
2. Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117.
3. Security Bank & Trust, P.O. Box 1156, Southgate MI 48195

(Category B - Greater than \$5000 but not more than \$15000)

1. Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, S.D. 57117
2. Campaign Committee Attorney Fees to Craig M. Armstrong of Craig M. Armstrong & Associates, Ottawa, Illinois for fees in connection with suit in Federal Court over the 1988 election. The bill was submitted to the Campaign Committee because it was one of the campaign debts and the attorney, in early 1994, donated the services to the campaign as an in kind contribution for the election and the lawsuit.

(Category C-Greater than \$15000 but not more than \$50000)

1. Loans at First National Bank of Ottawa, Illinois (auto, etc.)

(See below)

**4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

**(Here list in accordance with numbered paragraph 4 of the instructions.)**

None

(On Question 3 above, the following answer applies:

(Category D - Greater than \$50000, but not more than \$100000)

1. Home Mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

**5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:**

**(Here list in accordance with numbered paragraph 5 of the instructions.)**

None for myself other than my Judgeship.

My wife became employed in March, 1987, with the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL, and is on a shared time program of 20 hours a week.

**6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:**

**(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)**

None.

**7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:**

**(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)**

I had been a member of the board of directors, Reddick's Library, Ottawa, IL  
(A non-profit entity, no compensation paid)

Member, Board of Directors, Illinois Judges Assoc. and Vice President  
(A non-profit entity, no compensation paid)

I had been a trustee for "Nam Vets" - Safety Deposit Box in Ottawa, IL  
(A non-profit entity, no compensation paid)

**8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:**

**(Here list gifts in accordance with numbered paragraph 8 of the instructions.)**

Mary, Matthew and Nancy Carter each received 300 shares of Sara Lee Corporation common stock in December, 1993, from Eileen Rink Huppert (aunt of Nancy Carter.)

Nancy Carter received money from the Estate of Ruth Rink.

There was a Campaign Committee for the Election of Judge Robert L. Carter for Circuit Court Judge from the 1986 election and for the 1988 Election; Karen Armstrong, Treasurer, Ottawa, IL, which in the past received campaign contributions. Report was and is filed by the Treasurer with the State.


9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraph 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

**CERTIFICATION**

Pursuant to Supreme Court Rule 68, I declare that this statement of economic interest, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.

  
\_\_\_\_\_  
Judge's Signature

  
\_\_\_\_\_  
Date

**FILED**

APR 26 1994

SUPREME COURT CLERK

(Complete Below But Do Not Detach)

COPY

RECEIVED  
APR 19 1993  
CLERK  
SUPREME COURT

STATEMENT REQUIRED OF MEMBERS OF THE  
JUDICIARY OF THE STATE OF ILLINOIS

STATEMENT OF Robert L. Carter

(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See Attached Sheet for Answer to Question 1.)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification: (Here list former economic interests specified in numbered paragraph 2 of the instructions.)

None.

Answer to Question 1:

1. Realty - Residence located [REDACTED] IL, and personal property of residence including furniture and furnishings and two autos
2. Life Insurance - Equitable Financial Company (life insurance) and my wife, my children and I possess life insurance at work.
3. My wife has a life interest in the Elaine M. Safffield Trust.
4. Savings Account, 1st National Bank of Ottawa, Ottawa, Illinois.
5. Savings Accounts, Ottawa Federal Savings & Loan, Ottawa, Illinois.
6. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings accounts.
7. My wife is an heir, in 1984, in the estate of Mary J. Sinnett Rink, in Rock Island, Illinois, and she received one-eighth interest in a farm, 200 acres, in Andalusia, Illinois, and one-eighth interest in a farm account, and my wife is an heir, in 1992, in the estate of Ruth Rink from which she received some money,
8. My wife has a Kemper Money Market Fund.
9. My wife has shares of stock in the following corporations & amounts: 25 shares, Armada Corp. and 57 shares of AT&T and 2,985 shares of Sara Lee Corp.(stock split)
10. Judicial pension and wife's State pension.
11. Joint checking account at First National Bank of Ottawa, Illinois.
12. Matthew and Mary Carter each own 2, 985 shares of common stock in Sara Lee Corp.(stock split)
13. I own 2,101 shares of common stock in Sara Lee Corp.(In '92, there was stock split.)

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in paragraph numbered 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

(Category A - less than \$5000.00)

1. First Card Visa, Elgin, Illinois.
2. Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117.
3. Security Bank & Trust, P.O. Box 1156, Southgate, MI 48195.

4. Category B - greater than \$5,000 but not more than \$15,000.00)

1. Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, SD 57117.
2. Attorney's Fees to Craig M. Armstrong of Craig M. Armstrong & Associates, Ottawa, Illinois, for fees in connection with suit in Federal Court over the 1988 Election. I do not consider this to be my personal debt. I want my attorney to collect the fees from the Plaintiff. Also, I have submitted the bill to the Campaign Committee because I believe it is the campaign debt. (See below for additional answers to Question 3)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with the judge) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.) None.

On Question 3 above, the following answer applies:)

(Category C - greater than \$15,000 but not more than \$50,000)

1. Home Mortgage at Ottawa Federal Savings & Loan, Ottawa, Illinois.
2. Loans at First National Bank of Ottawa, Illinois (auto, etc.).



5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows: (Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife, Nancy Rink Carter, became employed in March, 1987, with the State Appellate Prosecutor's Office of the Third Appellate District, Ottawa, IL, and is on a shared time program of 20 hours a week.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

Kenneth A. Kozel v. State Board of Elections, et al. Matters over the 1988 election pending in both the trial court, Northern District of Illinois and 7th Circuit U.S. Court of Appeals. 7th Circuit Court of Appeals affirmed judgment in our favor in 1991. We had, at the trial level, a Motion for Rule 11 Fees which was dismissed without prejudice and with leave to refile after the 7th Circuit opinion, and my attorney has not refiled that as of yet.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Reddick's Library, Ottawa, IL

(A non-profit entity, no compensation paid)

Member, Board of Directors, Illinois Judges Association and 2d Vice President

(A non-profit entity, no compensation paid)

Had been one of the trustees for "Nam Vets" - Safety Deposit Box in Ottawa, IL

(A non-profit entity, no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:  
(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Mary, Matthew, Nancy Carter and I each received 327 shares of Sara Lee Corp. common stock in December, 1992, from Eileen Rink Huppert (aunt of Nancy Carter).

There is a Campaign Committee for the Election of Judge Robert L. Carter for Circuit Court Judge from the 1986 election and for the 1988 Election; Karen Armstrong, Treasurer, Ottawa, Illinois, which, in the past, received campaign contributions. Report was and is filed by the Treasurer with the State.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraph 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

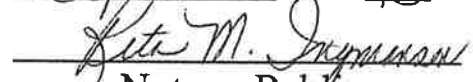
(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.



Robert L. Carter

Subscribed and sworn to me before this 5<sup>th</sup> day of April, 19 93.

  
Notary Public

My Commission Expires:

11-29-93



**FILED**

APR 19 1993

SUPREME COURT CLERK

STATEMENT REQUIRED OF MEMBERS OF THE  
JUDICIARY OF THE STATE OF ILLINOIS

COPY RECEIVED

APR 20 1992

CLERK  
SUPREME COURT

STATEMENT OF Robert L. Carter  
(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See Attached sheet for answer to question 1.)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification: (Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My wife sold her interest in John Deere stock in January, 1987, and also sold her 25 shares of Whittaker Corporation in 1987. Also terminated interest in Bankers Life, Metropolitan Life, Western & Southern Life and American Family Life and New England Mutual Fund in the past. Also, nine shares of Transition Electronics are valueless.

ANSWER TO QUESTION 1:

- A. Realty - Residence [REDACTED], IL and personal property of residence including furniture and furnishings and two autos.
- B. Life insurance - Equitable Financial Company (Xlife insurance) and my wife, my children and I possess life insurance at work.
- C. My wife has a life interest in the Elaine M. Safffield Trust.
- D. Savings Account, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, Ottawa Federal Savings and Loan, Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife is an heir in the estate of Mary J. Sinnett Rink from Rock Island, IL, and she received 1/8 interest in a farm, 200 acres, in Andalusia, IL, and 1/8 interest in a farm account.
- H. My wife has a Kemper Money Market Fund.
- I. My wife has shares of stock in the following corporations & amounts: 25 shares, Armada Corp. and shares of AT&T and 1,329 shares of Sara Lee Corp.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, Illinois.
- L. Matthew and Mary Carter each own 1,329 shares of common stock in Sara Lee Corp.
- M. I own 887 shares of common stock in Sara Lee Corp.

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in paragraph numbered 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

(Category A - less than \$5,000.00)

1. First Card Visa, Elgin, Illinois.
2. Citibank Mastercard, P. O. Box 6000, Sioux Falls, S.D. 57117.
3. Security Bank & Trust, P.O. Box 1156, Southgate, MI 48195.
4. Carpet Tile, 302 W. Main Street, Ottawa, IL 61350

(Category B - greater than \$5,000 but not more than \$15,000.00)

1. Citibank Visa Preferred, P. O. Box 6042, Sioux Falls, SD 57117.
2. Attorney Fees to Craig M. Armstrong of Craig M. Armstrong & Associates, Ottawa, Illinois for fees in connection with suit in Federal Court over the 1988 election. I want my attorney to collect the fees from the Plaintiff. Also, I have submitted the bill to the Campaign Committee because I believe it is the campaign debt.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with the judge) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None.

(On question 3 above, the following answer applies)

(Category C - greater than \$15,000 but not more than \$50,000)

1. Home Mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.
2. Loans at First National Bank of Ottawa, Illinois.

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows: (Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and I taught the spring semester, 1991, Business Law at Illinois Valley Community College, Oglesby, Illinois. I no longer teach there per Supreme Court Rule.

My wife became employed in March, 1987, with the State Appellate Prosecutor's Office of the Third Appellate District, Ottawa, IL, and is on a shared time program of 20 hours a week.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

Kenneth A. Kozel v. State Board of Elections, et al. (Matters over the 1988 election pending in both the trial court, Northern District of Illinois and 7th Circuit U. S. Court of Appeals. Seventh Circuit Court of Appeals affirmed a judgment in our favor in 1991. And we had at the trial level, a Motion For Rule 11 Fees which was dismissed without prejudice and with leave to refile after the 7th Circuit opinion and that my attorney had filed a pending Rule 11, and he has not refiled that as of yet.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Reddick's Library, Ottawa, IL

(A non-profit entity, no compensation paid)

Member, Board of Directors, Illinois Judges Association and Third Vice President.

(A non-profit entity, no compensation paid)

Had been one of the trustees for "Nam Vets" - Safety Deposit Box in Ottawa, IL.

(A non-profit entity, no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:  
(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Matthew, Mary, Nancy Carter and *cl*, each received 222 shares of Sara Lee Corporation common stock in December, 1991, from Eileen Rink Huppert (aunt of Nancy Carter).

There is a Campaign Committee for the Election of Judge Robert L. Carter for Circuit Court Judge from the 1986 election and for the 1988 Election; Karen Armstrong, Treasurer, Ottawa, Illinois, which I have in the past received campaign contributions. Report was and is filed by the Treasurer with the State.



9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraph 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

R. A. L. Cant

Subscribed and sworn to me before this 14~~th~~ day of April, 19 92.

Margaret Anne Sipula  
Notary Public

My Commission Expires:

9-25-94



**FILED**

APR 20 1992

STATEMENT REQUIRED OF MEMBERS OF THE  
JUDICIARY OF THE STATE OF ILLINOIS

RECEIVED

APR 22 1991

CLERK  
SUPREME COURT

STATEMENT OF Robert L. Carter  
(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheet for answer to question 1.)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification: (Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My wife sold her interest in John Deere stock in January, 1987, and also sold her 25 shares of Whittaker Corporation in 1987. Also terminated interest in Bankers Life, Metropolitan Life, Western & Southern Life and American Family Life and New England Mutual Fund in the past. Also, 9 shares of Transition Electronics are valueless.

Answer to question 1:

- A. Realty - Residence [REDACTED] IL, and furniture & personal property of residence.
- B. Life Insurance - Equitable Financial Company (Life Insurance) and my wife, my children and I possess life insurance at work.
- C. My wife has a life interest in the Elaine M. Saffield Trust.
- D. Savings Account, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, Ottawa Federal Savings and Loan, Ottawa, Illinois.
- F. Tri-County Credit Union Account, my wife's savings & checking accounts.
- G. My wife is an heir in the estate of Mary J. Sinnett Rink from Rock Island, IL, and she received 1/8 interest in a farm, 200 acres, in Andalusia, IL, and 1/8 interest in farm account.
- H. My wife has a Kemper Money Market Fund.
- I. My wife has shares of stock in the following corporations & amounts: 25 shares, Armada Corp and shares of AT&T and 857 shares of Sara Lee Corp.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at 1st National Bank of Ottawa.
- L. Matthew and Mary Carter each own 857 shares of common stock in Sara Lee Corp.
- M. My two children and my wife own an additional 350 common shares of Sara Lee Corp. common stock which they received in March, 1990.
- N. I own 315 shares of Sara Lee common stock which I received in December, 1989, and also 350 shares of common stock in Sara Lee Corp. which I received in March, 1990.

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in paragraph numbered 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

(Category A - less than \$5,000.00)

1. First Card Visa, Elgin, Illinois.
2. Citibank Mastercard, PO Box 6000, Sioux Falls, S.D. 57117
3. Security Bank & Trust, P.O. Box 1156, Southgate, MI 48195
4. Carpet Tile, 302 W. Main Street, Ottawa, IL 61350

(Category B - greater than \$5,000, but not more than \$15,000)

1. Citibank Visa Preferred, PO Box 6042, Sioux Falls, S.D. 57117
2. Attorney Fees to Craig M. Armstrong of Craig M. Armstrong & Associates, Ottawa, Illinois for fees in connection with suit in Federal Court over the 1988 election. I view this as a debt of the 1988 campaign & my attorney is attempting to collect fees from the Plaintiff. Also I have submitted bill to Campaign Committee.

(Category C - greater than \$15,000, but not more than \$50,000. See below after question 4)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with the judge) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None.

(On question 3 above, the following answer applies)

(Category C - greater than \$15,000 but not more than \$50,000)

1. Home Mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois
2. Loans at First National Bank of Ottawa, Illinois

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows: (Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and part-time employment (one night a week, fall and spring semesters) as a teacher of Business Law at Illinois Valley Community College, Oglesby, Illinois.

My wife became employed in March, 1987, with the State Appellate Prosecutor's Office of the Third Appellate District, Ottawa, IL, and is on a shared time program of 20 hours a week.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

Kenneth A. Kozel v. State Board of Elections, et al (Matters over the 1988 election pending in both the trial court, Northern District of Illinois, and 7th Circuit U. S. Court of Appeals.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Reddick's Library, Ottawa, IL

(A non-profit entity, no compensation paid)

Member, Board of Directors, Illinois Judges Association

(A non-profit entity, no compensation paid)

One of the trustees for "Nam Vets" - Safety Deposit Box in Ottawa, IL

(A non-profit entity, no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:  
(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Matthew, Mary, Nancy Carter and myself each received 350 shares of Sara Lee Corp. common stock in March, 1990, from Eileen Rink Huppert (Aunt of Nancy Carter).

There is a Campaign Committee for the Election of Judge Robert L. Carter for Circuit Court Judge from the 1986 election and for the 1988 Election; Karen Armstrong, Treasurer, Ottawa, Illinois, which <sup>in the past</sup> received campaign contributions. Report was and is filed by the Treasurer with the State.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraph 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

[Signature]

Subscribed and sworn to me before this 18<sup>th</sup> day of April, 19 91.

[Signature: Sharon M. Murphy]  
Notary Public

My Commission Expires:

12-4-93



**FILED**

APR 22 1991

COPY

STATEMENT REQUIRED OF MEMBERS OF THE  
JUDICIARY OF THE STATE OF ILLINOIS

RECEIVED  
APR 20 1990

CLERK  
SUPREME COURT

STATEMENT OF ROBERT L. CARTER

(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

- A. Realty - Residence [REDACTED], IL, and furniture & personal property of residence
- B. Life Insurance - Bankers Life; Metropolitan Life; Western & Southern; Equitable Life Insurance; and American Family Life
- C. My wife has a life interest in the Elaine M. Saffield Trust
- D. Savings Account, 1st National Bank of Ottawa, Ottawa, Illinois
- E. Savings Accounts, Ottawa Federal Savings and Loan, Ottawa, Illinois
- F. New England Mutual Fund in joint tenancy
- G. Tri-County Credit Union Account, my wife's savings & checking accounts
- H. My wife is an heir in the estate of Mary J. Sinnett Rink from Rock Island, IL, and she received 1/8 interest in a farm, 200 acres, in Andalusia, IL, and 1/8 interest in farm account. (See below for I, J, K, L, M, N, O)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification: (Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My wife sold her interest in John Deere stock in January, 1987, and also sold her 25 shares of Whittaker Corporation in 1987.

(From Question 1 above, the following answers apply)

- I. My wife has a Kemper Money Market Fund
- J. My wife has shares of stock in the following corporations & amounts:  
25 shares, Armada Corp; 9 shares of Transition Electronics; shares of AT&T and 442 shares of Sara Lee Corp, which was listed at 221 in the 1989 statement but which shares split in December of 1989.
- K. Judicial pension and wife's State pension
- L. Joint checking account at 1st National Bank of Ottawa
- M. Matthew and Mary Carter each own 442 shares of common stock in Sara Lee Corp. which stock was previously listed at 221 in the 1989 statement, but which shares split in December of 1989)
- N. My two children and my wife own an additional 315 shares of Sara Lee Corp. common stock which they received in December, 1989, and an additional 350 shares of Sara Lee Corp. common stock which they received in March, 1990
- O. I own 315 shares of Sara Lee common stock which I received in December, 1989, and also 350 shares of common stock in Sara Lee Corp. which I received in March, 1990.



3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in paragraph numbered 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

(Category A-less than \$5,000)

1. First Card Visa, Elgin Illinois
2. Citibank Visa Preferred, , PO Box 6042, Sioux Falls, S.D. 57117
3. Citibank Mastercard, PO Box 6000, Sioux Falls, S.D. 57117
4. Security Bank & Trust, P.O. Bx 1156, Southgate, MI 48195

(Category B-greater than \$5,000, but not more than \$15,000)

1. Attorney fees to Craig M. Armstrong of Craig M. Armstrong & Associates, Ottawa, Illinois for fees in connection with suit in Federal Court over the 1988 election. I view this as a debt of the 1988 campaign & my attorney is attempting to collect fees from the plaintiff.

(Category C-greater than \$15,000, but not more than \$ 50,000)

(See Below question 4)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with the judge) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None

(On Question 3 above, the following answer applies)

(Category C-greater than \$15,000, but not more than \$ 50,000)

1. Home Mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois
2. Loans at First National Bank of Ottawa, Illinois

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows: (Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and part-time employment (one night a week, fall and spring semesters) as a teacher of Business Law at Illinois Valley Community College, Oglesby, IL

My wife became employed in March, 1987, with the State Appellate Prosecutor's Office of the Third Appellate District, Ottawa, IL, and is on a shared time program of 20 hours a week.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

Kenneth A. Kozel v. State Board of Elections, et al (Matters over the 1988 election pending in both the trial court, Northern District of Illinois and 7th Circuit U.S. Court of Appeals.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Reddick's Library, Ottawa, IL

(A non-profit entity, no compensation paid)

Member, Board of Directors, Illinois Judges Association

(A non-profit entity, no compensation paid)

One of the trustees for "Nam Vets" - Safety Deposit Box in Ottawa, IL

(A non-profit entity, no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:  
(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Matthew, Mary and Nancy Carter each received 442 shares of Sara Lee Corp. common stock from Eileen Rink Huppert (Aunt of Nancy Carter) which stock was listed in the 1989 statement as 221 shares, but which stock split in December of 1989.

Matthew, Mary, Nancy Carter and myself each received 315 shares of Sara Lee Corp common stock in December, 1989, and 350 shares of Sara Lee Corp. common stock in March, 1990, from Eileen Rink Huppert (Aunt of Nancy Carter)

There is a Campaign Committee for the Election of Judge Robert L. Carter for Circuit Court Judge from the 1986 Election and for the 1988 Election; Karen Armstrong, Treasurer, Ottawa, Illinois, which receives campaign contributions. Report was and is filed by the Treasurer with the State.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraph 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware



Robert L. Carter

171 Forest Park Road, Ottawa, IL 61350

Subscribed and sworn to me before this 19<sup>th</sup> day of April, 19 90.



Notary Public

My Commission Expires:



**FILED**

APR 20 1990

COPY

STATEMENT REQUIRED OF MEMBERS OF THE  
JUDICIARY OF THE STATE OF ILLINOIS

RECEIVED

STATEMENT OF ROBERT L. CARTER

APR 25 1989

(Please type/print your name)

CLERK  
SUPREME COURT

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

- A. Realty - Residence [REDACTED], IL, and furniture and personal property of residence.
- B. Life Insurance - Bankers Life; Metropolitan Life; Western & Southern; Equitable Life Insurance; and American Family Life
- C. My wife has a life interest in the Elaine M. Saffield Trust
- D. Savings Account, 1st National Bank of Ottawa, Ottawa, Illinois
- E. Savings Accounts, Ottawa Federal Savings and Loan, Ottawa, Illinois
- F. New England Mutual Fund in joint tenancy
- G. Tri County Credit Union Account, (my wife's savings and checking accounts)
- H. My wife is an heir in the estate of Mary J. Sinnett Rink from Rock Island, IL, and she received 1/8 interest in a farm, 200 acres, in Andalusia, IL, and 1/8 interest in farm account. (See below for I, J, K, L, and M)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification: (Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My wife sold her interest in John Deere stock in January, 1987, and also sold her 25 shares of Whittaker Corporation in 1987.

(On Question 1 above, the following answers apply:)

- I. My wife has a Kemper Money Market Fund
- J. My wife has shares of stock in the following amounts and in the following corporations: 25 shares of Armada Corp. in her name; 9 shares of Transition Electronics in her name; 221 shares of Sara Lee Corp; shares of ATT
- K. Judicial pension and wife's State pension
- L. Joint checking account at 1st National Bank of Ottawa
- M. Matthew and Mary Carter each own 221 shares of common stock in Sara Lee Corp.

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in paragraph numbered 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

(Category A-less than \$5,000)

1. First Card Visa, Elgin, Illinois
2. Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, S.D. 57117
3. Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117

(Category B-greater than \$5,000, but not more than \$15,000)

1. Loans at First National Bank of Ottawa, Illinois
2. Attorney fees to Craig M. Armstrong of Craig M. Armstrong and Associates, Ottawa Illinois, for fees in connection with suit in Federal Court over the 1988 election. (My attorney is attempting to collect fees from the plaintiff)

(Category C-greater than \$50,000 but not more than \$100,000)

1. Home Mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with the judge) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows: (Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and part-time employment (one night a week, fall and spring semester) as a teacher of Business Law at Illinois Valley Community College, Oglesby, IL

My wife did occasional legal ~~xx~~ work and in January, 1985, became employed as an Assistant Defender, Office of the State Appellate Defender, Third Judicial District, and as of March, 1987, she is employed by the State Appellate Prosecutor of the Third Appellate District, Ottawa, IL, and is on a shared time program of 20 hours a week.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

Kenneth A. Kozel v. State Board of Elections, et al (Matters over the 1988 election pending in both the trial court, Northern District of Illinois and 7th Circuit U.S. Court of Appeals

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Reddick's Library, Ottawa, IL

(A non-profit entity, no compensation paid)

Member, Board of Directors, Illinois Judges Association

(A non-profit entity, no compensation paid)

One of the trustees for "Nam Vets" - Safety Deposit Box in Ottawa, IL

(A non-profit entity, no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:  
(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

None for myself.

Matthew, Mary and Nancy Carter each received 221 shares of Sara Lee Corp common stock from Eileen Rink Huppert (Aunt of Nancy Carter)

There is a Campaign Committee for the Election of Judge Robert L. Carter for Circuit Court Judge from the 1986 Election and for the 1988 Election; Karen Armstrong, Treasurer, Ottawa, Illinois, which received and receives campaign contributions. Report was and is filed by the Treasurer with the State.

Used early 1980s PC computer given to family by cousin, David Roscetti



9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraph 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware

13th Jud. Cir

RAL Carter  
171 Forest Park Rd, Ottawa, Ill 61350

Subscribed and sworn to me before this 24th day of April, 1989.

Michelle R. Malone  
Notary Public

My Commission Expires:

3/29/92



**FILED**

APR 25 1989

Supreme Court Clerk

STATEMENT REQUIRED OF MEMBERS OF THE  
JUDICIARY OF THE STATE OF ILLINOIS

COPY  
RECEIVED

APR 18 89

SUP  
CLERK'S OFFICE

STATEMENT OF ROBERT L. CARTER

(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

- A. Realty - residence [REDACTED], IL, and furniture and personal property at residence
- B. Life Insurance - Bankers Life; Metropolitan Life; Western & Southern; Equitable Life Insurance; and American Family Life
- C. My wife has a life interest in the Elaine M. Saffield Trust
- D. Savings Account, 1st National Bank of Ottawa, Ottawa, Illinois
- E. Savings Accounts, Ottawa Federal Savings and Loan, Ottawa, Illinois
- F. New England Mutual Fund in joint tenancy
- G. Tri County Credit Union Account, (my wife's savings and checking accounts)
- H. My wife is an heir in the estate of Mary J. Sinnett Rink from Rock Island, IL, and she received 1/8 interest in a farm, 200 acres, in Andalusia, IL, and 1/8 interest in farm account.

(See below for I, J, and K and L)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification: (Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My wife sold her interest in John Deere stock in January, 1987, and also sold her 25 shares of Whittaker Corporation in 1987.

(On Question 1 above, the following answers apply)

- I. My wife has a Kemper Money Market Fund
- J. My wife has shares of stock in the following amounts and in the following corporations: 25 shares of Armada Corporation in her name; 9 shares of Transition Electronics in her name; shares of AT&T
- K. Judicial pension and wife's State pension
- L. Joint checking account at 1st National Bank of Ottawa

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in paragraph numbered 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

(Category A-less than \$5,000)

1. First Card Visa, Elgin, Illinois
2. Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, S.D. 57117
3. Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117

(Category B-greater than \$5,000 but not more than \$15,000)

1. Loans at First National Bank of Ottawa, Illinois

(Category C-greater than \$50,000 but not more than \$100,000)

1. Home Mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with the judge) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows: (Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and part-time employment (one night a week, fall and spring semester) as a teacher of Business Law at Illinois Valley Community College, Oglesby, Illinois

My wife did occasional legal work and in January 1985, became employed as an Assistant Defender, Office of the State Appellate Defender, Third Judicial District, and as of March, 1987, she is employed by the State Appellate Prosecutor of the Third Appellate District, Ottawa, Illinois, and is on a shared-time program of twenty hours a week.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Reddick's Library, Ottawa, Illinois

(A non-profit entity, no compensation paid)

Member, Board of Directors, Illinois Judges Association

(A non-profit entity, no compensation paid)

One of the trustees for "Nam Vets" - Safety Deposit Box in Ottawa, IL

(A non-profit entity, no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows: (Here list gifts in accordance with numbered paragraph 8 of the instructions.)

None for myself or family.

There is a Campaign Committee for the Election of Judge Robert L. Carter for Circuit Court Judge from the 1986 Election and for the 1988 Election; Karen Armstrong, Treasurer, Ottawa, Illinois, which received and receives campaign contributions. Report was and is filed by the Treasurer with the State.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraph 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware

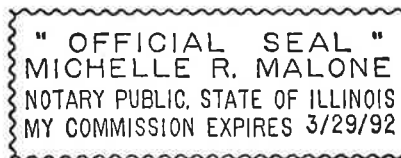
Robert R. Carter

Subscribed and sworn to me before this 15<sup>th</sup> day of April, 19 88.

Michelle R. Malone  
Notary Public

My Commission Expires:

3-29-92



FILED

APR 18 1988

Supreme Court Clerk

STATEMENT REQUIRED OF MEMBERS OF THE  
JUDICIARY OF THE STATE OF ILLINOIS

RECEIVED  
APR 24 1987  
CLERK  
SUPREME COURT

STATEMENT OF ROBERT L. CARTER

(Please type/print your name)

(13th Judicial Circuit)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

- A. Realty - residence [REDACTED], Illinois
- B. Life Insurance - Bankers Life; Metropolitan Life; Western & Southern; Equitable Life Insurance; and American Family Life
- C. My wife has a life interest in the Elaine M. Saffield Trust
- D. Savings Account, 1st National Bank of Ottawa, Ottawa, Illinois
- E. Savings Accounts, Ottawa Federal Savings and Loan, Ottawa, Illinois
- F. New England Mutual Fund in joint tenancy
- G. Tri County Credit Union Accounts
- H. My wife is an heir (one of four children) in the estate of Mary J. Sinnett Rink from Rock Island, Illinois and she receive 1/8 interest in a farm, 200 acres, in Andalusia, Illinois, from her mother's estate.
- I. My wife has a Kemper Money Market Fund
- J. My wife has shares of stock in the following amounts and in the following corporations: 25 shares of Armada Corporation in her name; 9 shares of Transition Electronics in her name; 25 shares of Whittaker Corporation in her name; shares of ATT
- K. Judicial Pension and wife's State Pension

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:  
(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My wife sold her interest in John Deere stock in January, 1987

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in paragraph numbered 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

(Category A-less than \$5,000)

1. Ralph and Barbara Bowermaster, Ottawa, Illinois, note for the purchase of an automobile-paid in July, 1986
2. First Card Visa, Elgin, Illinois
3. Citibank Visa Preferred, P.O. Box 6042, Sioux Falls, S.D. 57117
4. Citibank Mastercard, P.O. Box 6000, Sioux Falls, S.D. 57117

(Category B-greater than \$5,000 but not more than \$15,000)

1. Car loans at First National Bank of Ottawa, Illinois

(Category D-greater than \$50,000 but not more than \$100,000)

1. Home Mortgage at Ottawa Federal Savings & Loan, Ottawa, Illinois

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with the judge) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None



5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows: (Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and part-time employment as a teacher of Business Law at Illinois Valley Community College, Oglesby, Illinois

My wife did occasional legal work and in January, 1985, became employed as an Assistant Defender, Office of the State Appellate Defender, Third Judicial District, and as of March, 1987, she is employed by the State Appellate Prosecutor of the Third Appellate District, Ottawa, Illinois

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None

.7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Reddick's Library, Ottawa, Illinois

(A non-profit entity, no compensation paid)

Member, Board of Directors, Illinois Judges Association

(A non-profit entity, no compensation paid)

One of the trustees for "Nam Vets" - Safety Deposit Box in Ottawa

(A non-profit entity, no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:  
(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

None for myself or family.

There is a Campaign Committee for the Election of Judge Robert L. Carter for Circuit Court Judge from the 1986 Election, Karen Armstrong, Treasurer, Ottawa, Illinois, which received campaign contributions. Report was filed by the Treasurer with the State.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraph 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware

4/20/87 RTH Carter

Subscribed and sworn to me before this 20<sup>th</sup> day of April, 1987.

Beverly K. Jones  
Notary Public

My Commission Expires:

April 9, 1988

**FILED**

**APR 24 1987**

CLERK SUPREME COURT  
ILLINOIS